



Dear Provider:

Scarborough group of companies is one of the nation's premier transportation providers. Domestic Brokerage, Asset based trucking, Warehousing, International Freight Forwarding, US and Mexican Customs Brokerage. We understand the importance of supply chain to our clients overall business, and develop personal relationships with our carriers to ensure we provide the customer service and expertise we are known for.

We have created a document that every carrier **MUST** complete and return, in order to become an approved carrier or a partner for Scarborough Transportation, LLC. As part of this package, we have provided a carrier checklist of requirements to help you revise and complete all required documents in order to be properly set up with Scarborough. If you find a certain section does not apply to your operation, simply enter "NA" for not applicable and move to the next area.

Upon Completion, please send back an electronic copy (email or fax) for revision and approval.

It is mandatory that Scarborough Transportation, LLC. is listed as Certificate Holder on your auto and general liability policies. **Certificate must read:**

Scarborough Transportation, LLC.
Attn: Logistics Department
10841 NW Ambassador Drive
Kansas City, MO 64153
Phone: 816-584-2495
Fax: 816-584-2595

Please take time to review the documents and feel free to contact me if you have questions.

Remit form to:
Laura Conner
Domestic Transportation CSR
Phone: 816-584-2479
Fax: 816-584-2595
Email: lconner@scarbrough-intl.com



Scarborough Transportation, LLC.
10841 NW Ambassador Drive
Kansas City, MO 64153

Contacts

Mike Curry

Domestic Transportation Manager

Ph: 816-584-2495

Email: MCurry@scarbrough-intl.com

Laura Conner

Domestic CSR

Ph: 816-584-2479

Email: LConner@scarbrough-intl.com

Group Distribution Email: DomPricing@scarbrough-intl.com

Fed ID#: 46-3760531

DUNS: 60-958-7126

MC#: 843370

DOT: 2447857

References

Midwest Transport Specialist, Inc.

Kansas City, MO
816-924-7377
Wes or Tim

Gem State Transportation, Inc.

Kimberly, ID
208-734-9062
Steve

Kings Express

West Chicago, IL
630-293-9108
Chris Merry

LT Delivery, Inc.

Trimble, MO
816-587-4854
Curtis

R&M Trucking

Franklin Park, IL
847-616-1080
Tony Degani

K-Express

Bensenville, IL
630-766-4788
Kevin or Mike



CARRIER PROFILE

Please print your information in the areas provided:

Carrier Name	
Carrier DBA	
Physical Address	
City, State, Zip	
Remit to Address	
City, State, Zip	
Business Phone Number	
Fax Number	
Webpage URL	
Email address	

Authorities & ID's

DOT #		MC#		FEIN#		DUNS#	
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SCAC Code	
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# of Tractors		# of Trailers	
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Please enter the number of trailers within each category:

Size	Type	Qty	Type	Qty	Type	Qty
45'	Van		Reefer		Flatbed	
48'	Van		Reefer		Flatbed	
53'	Van		Reefer		Flatbed	
48	S Drop Flt		D Drop Flt		MAHH	
53'	S Drop Flt		D Drop Flt		Tanker HM	
48 flat	Conestoga		End Dump		Tanker FG	
53 flat	Conestoga		Side Dump		Walking Flr	
48 SD	Conestoga		Hoppers			
53 SD	Conestoga		Power only			

Do you haul HAZ-MAT? No Yes If yes, please include current HAZ-MAT registration.

Securement Equipment:

4' tarps: ___ 6' tarps: ___ Lumber tarps: ___ Straps: ___ Chains: ___ Pipe Stakes: ___

Tracking Capabilities:

Satellite: ___ Cellular Phone: ___ Scheduled Call-Ins: ___ Other: _____

Customs Bonded in:

USA: _____ Canada: _____ Mexico: _____ Smartway Partner: _____



Security/Customs & Border Protection Program participation: (Mark all that applicable)

	ACE	Automated Commercial Environment System
	AES	Automated Export System
	BRASS	Border Release Advance Selection System
	CIP	Carrier Initiative Program
	CSA	Customs Self-Assessment
	CSI	Container Security Initiative
	C-TPAT	Customs – Trade Partnership Against Terrorism
	FAST	Free and Secure Trade
	PAPS	Pre-Arrival Processing System
	PIP	Partners in Protection
	TWIC	Transportation Worker Identification Cards
	UAIL	Uniform Intermodal Interchange and Facilities Access Agreement

Territory (Mark regions or states that you are available for reload)

___ All 48 States

Region

Northwest ___ All ___ ID ___ MT ___ OR ___ WA ___ WY

Southwest ___ All ___ AZ ___ CA ___ CO ___ NM ___ NV ___ UT

North Central ___ All ___ IA ___ KS ___ MN ___ MO ___ ND ___ NE ___ SD

South Central ___ All ___ AR ___ LA ___ OK ___ TX

Midwest ___ All ___ IL ___ IN ___ KY ___ MI ___ OH ___ WI

Northeast ___ All ___ CT ___ MA ___ ME ___ NH ___ NY ___ RI ___ VT

Central Atlantic ___ All ___ DE ___ MD ___ NJ ___ PA ___ VA ___ WV

Southeast ___ All ___ AL ___ FL ___ GA ___ MS ___ NC ___ SC ___ TN

Canadian Provinces:

AB ___ BC ___ MB ___ NB ___ NF ___ NS ___ ON ___ PE ___ PQ/QC ___ SK ___ YT ___

Mexican States:

AG ___ BN ___ BS ___ CM ___ CP ___ CH ___ CA ___ CL ___ DU ___ DF ___ GT ___ GR ___

HI ___ JA ___ MC ___ MR ___ MX ___ NA ___ NL ___ OA ___ PU ___ QE ___ QR ___ SL ___

SI ___ SO ___ TB ___ TM ___ TL ___ VE ___ YU ___ ZA ___



Regular lanes needing backhauls:

Origin City	State	Dest City	State	M/T/W/TH/F

Types of Service: ___OTR ___Regional ___ Local ___Hot Shot ___Team

Preference: ___Short-haul (1-500 miles) ___Long-haul (501 + miles)

CONTACTS

Responsibility	Contact Name	Phone (w/ext)	Fax	E-Mail
Primary Contact				
Dispatch				
After Hours				
Insurance				
Claims				
Accounts Rec				

Additional services:

___ Liquor Permits Permits by State: _____

___ Blanketwrap

___ Intermodal Drayage

___ Lift gates



Scarborough Transportation, LLC.

10841 NW Ambassador Drive
Kansas City, MO 64153
Phone: (816) 584-2495

Carrier/ Broker Contract

This agreement made this ____ day of _____, 20 ____ by and between _____ (Carrier), whose principal office is at _____, and Scarborough

Transportation, LTD. (Broker), whose principal office is 10841 NW Ambassador Drive, Kansas City, MO 64153.

- 1. The Carrier warrants that it has interstate general commodities authority as a CONTRACT carrier (except household goods, Class A & B explosives, and commodities in bulk) authority as specified in

MC _____ and will maintain such authority as required by law and regulation and at least \$100,000 cargo insurance (including reefer breakdown where protective service is required); proof of such insurance shall be furnished to Broker directly by carrier's independent insurance agent or insurance company.

- 2. Pursuant to such authority, the Broker, MC-843370, agrees to tender directly and indirectly such shipments as it may have available.
- 3. The Carrier agrees, under continuing contracts, to carry such goods at the rates as are agreed upon and to provide contract services as to scheduled deliveries and provide assigned equipment as provided herein or has hereafter amended. It is the intent and agreement of the parties that this agreement is for numerous shipments during the contract period. It is the intent of the parties that the Carrier shall remain an Independent Contractor and nothing herein shall be constructed to be inconsistent with that relationship. The carrier agrees to indemnify and save harmless the broker from any and claims hereunder as a carrier and otherwise to assume full responsibility for all salaries, insurance, taxes, pensions, and benefits of the carrier's employees in the performance of this contract as now or hereafter.
- 4. The broker will bill the shipper directly for all transportation services provided by the carrier and payment thereof shall relieve the Shipper of any liability for nonpayment. Broker will remit to the carrier the negotiated and agreed upon contract rates within 30 days of pickup of the goods by the carrier or within 20 days of freight bill receipt (accompanied by a delivery receipt) by Broker, whichever is later, unless other credit rules apply by law or regulation. Rates may be established or amended verbally in order to meet specific shipping schedules as mutually agreed, but such verbal contracts shall be reduced to writing within (7) working days of the freight involved. In any rate dispute, the rates agreed to pursuant to this agreement shall prevail and shall be the rate charged by the carrier to the broker.
- 5. The Carrier will issue and sign a standard bill of lading or receipt acceptable to the broker and underlying shippers on acceptance of the goods. The carrier assumes the liability of an interstate common carrier from the time of receipt of such goods by the carrier until proper delivery is made and that the bill of lading/ receipt shall be prima facia evidence of the actual receipt of such goods in good order and condition unless otherwise noted on the face of such document. All such documents shall show the actual consignor and consignee and the broker shall appear in the "bill to" section or the "special instructions" section as being "shipped under the contract authority of the Broker.



- 6. It is understood the broker assumes no liability for loss or damage to or caused by the shipment and such liability is directly between the carrier and the properly claiming party, but the broker may transmit and provide copies of necessary claim documents. The carrier assumes all responsibility for hazardous material compliance.
- 7. Where authority exists and where permitted by law, this agreement applies also to the interstate movements and to exempt commodities and transportation wherein the carrier assumes the responsibility.
- 8. For mixed and unmixed loads of unregulated commodities arranged by the broker, the parties will agree to appropriate charges, commissions and payments. Wherever the broker tenders a consolidated load or a stop off load involving more than one shipper, the carrier will maintain confidentiality as required by law and regulation.
- 9. Carrier agrees to directly or indirectly solicit business from a Shipper/Consignor from which goods have been tendered under this agreement for the term of this agreement plus an added term of one year. Commissions at a rate of 20% of gross revenue to be paid to broker should carrier violate this section.
- 10. It is understood that the broker may invoice the shipper a service charge in addition to the contract charge of the carrier and this does not affect the obligations hereunder, nor is the carrier entitled to any additional sums.
- 11. If the shipment provides for pallet exchange, and carrier does not have pallets to exchange at the time of shipment, carrier agrees to either return pallets before the freight bill is due and payable or accept a deduction from the broker equal to \$7.00 per pallet. Broker will allow a 30-day period, subsequent to deduction wherein carrier will be reimbursed for returned pallets by furnishing broker a receipt for pallets signed by the shipper.
- 12. This agreement shall be for a period of one year from the date of execution hereof and shall automatically renew for additional one-year periods unless cancelled by either party on 30-days written notice to the other.
- 13. This agreement is to be interpreted according to the laws of the state of Missouri except that any statute or period of limitation applicable to interstate commerce shall apply. If any part of this agreement is determined to be contrary to the laws or regulations of any jurisdiction, such determination shall not affect the validity of any other terms or conditions.

Carrier: _____ Broker: Scarbrough Transportation, LTD.

By: _____ By: _____

Title: _____ Title: _____

Date: _____ Date: _____

A Federal Agency may not conduct or sponsor, and a person is not required to respond to, nor shall a person be subject to a penalty for failure to comply with a collection of information subject to the requirements of the Paperwork Reduction Act unless that collection of information displays a current valid OMB Control Number. The OMB Control Number for this information collection is 2126-0017. Public reporting for this collection of information is estimated to be approximately 10 minutes per response, including the time for reviewing instructions, gathering the data needed, and completing and reviewing the collection of information. All responses to this collection of information are mandatory. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to: Information Collection Clearance Officer, Federal Motor Carrier Safety Administration, MC-RRA, Washington, D.C. 20590.



United States Department of Transportation
Federal Motor Carrier Safety Administration

Broker's or Freight Forwarder's Surety Bond under 49 U.S.C. 13906

Bond Serial No. 2013060032

FORM BMC-84

Filer FMCSA Account Number: 22010- 0

License No(s): MC843370

KNOW ALL MEN BY THESE PRESENTS, that we, SCARBROUGH TRANSPORTATION LLC
(Name of Broker or Freight Forwarder)

of 10841 N AMBASSADOR DR KANSAS CITY, MO 64153
(Address)

as PRINCIPAL (hereinafter called Principal), and AMERICAN ALTERNATIVE INSURANCE CORPORATION
(Name of Surety)

a corporation, or Risk Retention Group established under the Liability Risk Retention Act of 1986, Pub. L. 99-563, created and existing under the laws of the State of DELAWARE (hereinafter called Surety), are held and firmly bound unto the United
(State)

States of America in the sum of \$75,000 for a broker or freight forwarder, for which payment, well and truly to
(Penalty Amount)

be made, we bind ourselves and our heirs, executors, administrators, successors, and assigns, jointly and severally, firmly by these presents.

WHEREAS, the Principal is or intends to become a Broker or Freight Forwarder pursuant to the provisions of Title 49 U.S.C. 13904, and the rules and regulations of the Federal Motor Carrier Safety Administration relating to Insurance or other security for the protection of motor carriers and shippers, and has elected to file with the Federal Motor Carrier Safety Administration such a bond as will ensure financial responsibility and the supplying of transportation subject to ICC Termination Act of 1995 in accordance with contracts, agreements, or arrangements therefore, and

WHEREAS, this bond is written to assure compliance by the Principal as either a licensed Broker or a licensed Freight Forwarder of Transportation by motor vehicle with 49 U.S.C. 13906(b), and the rules and regulations of the Federal Motor Carrier Safety Administration, relating to Insurance or other security for the protection of motor carriers and shippers, and shall inure to the benefit of any and all motor carriers or shippers to whom the Principal may be legally liable for any of the damages herein described.

NOW, THEREFORE, the condition of this obligation is such that if the Principal shall pay or cause to be paid to motor carriers or shippers by motor vehicle any sum or sums for which the Principal may be held legally liable by reason of the Principal's failure faithfully to perform, fulfill, and carry out all contracts, agreements, and arrangements made by the Principal while this bond is in effect for the supplying of transportation subject to the ICC Termination Act of 1995 under license issued to the Principal by the Federal Motor Carrier Safety Administration, then this obligation shall be void, otherwise to remain in full force and effect.

The liability of the Surety shall not be discharged by any payment or succession of payments hereunder, unless and until such payment or payments shall amount in the aggregate to the penalty of the bond, but in no event shall the Surety's obligation hereunder exceed the amount of said penalty. The Surety agrees to furnish written notice to the Federal Motor Carrier Safety Administration forthwith of all suits filed, judgements rendered, and payments made by said Surety under this bond.

This bond is effective the 1 day of OCTOBER, 2013, 12:01 a.m., standard time at

the address of the Principal as stated herein and shall continue in force until terminated as hereinafter provided. The Principal or the Surety may at any time cancel this bond by written notice to the Federal Motor Carrier Safety Administration at its office in Washington, DC, such cancellation to become effective thirty (30) days after actual receipt of said notice by the FMCSA on the prescribed form BMC-36, Notice of Cancellation Motor Carrier and Broker Surety Bond. The Surety shall not be liable hereunder for the payment of any damages herein before described which arise as the result of any contracts, agreements, undertakings, or arrangements made by the Principal for the supplying of transportation after the termination of this bond as herein provided, but such termination shall not affect the liability of the Surety hereunder for the payment of any such damages

arising as the result of contracts, agreements, or arrangements made by the Principal for the supplying of transportation prior to the date such termination becomes effective.

The receipt of this filing by the FMCSA certifies that a Broker Surety Bond has been issued by the company identified above, and that such company is qualified to make this filing under Section 387.315 of Title 49 of the Code of Federal Regulations.

Falsification of this document can result in criminal penalties prescribed under 18 U.S.C. 1001.

IN WITNESS WHEREOF, the said Principal and Surety have executed this instrument on the 14 day of October, 2013.

PRINCIPAL

SCARBROUGH TRANSPORTATION LLC
COMPANY NAME
10841 N AMBASSADOR DR
STREET ADDRESS
KANSAS CITY, MO 64153
CITY, STATE, ZIP CODE

CYNTHIA SCARBROUGH, CORPORATE SECRETARY
(type or print Principal officer's name and title)

Cynthia Scarbrough
(Principal officer's signature)

Tia Tenbrink
(type or print witness's name)

Tia Tenbrink
(witness's signature)

SURETY

AMERICAN ALTERNATIVE INSURANCE CORPORATION
(A DELAWARE CORPORATION)
555 COLLEGE ROAD EAST
PRINCETON, NJ 08540-6616

Contact Address Requested by Surety:
ROANOKE INSURANCE GROUP INC.
Managing General Underwriters for
AMERICAN ALTERNATIVE INSURANCE CORPORATION
1475 E. WOODFIELD ROAD, SUITE 500
SCHAUMBURG, IL 60173
Phone: 847-969-1420

Matthew L. Zehner

Matthew L. Zehner, Attorney-in-Fact

Jennifer E. Rome

Jennifer E. Rome, Witness





U.S. Department of Transportation
Federal Motor Carrier Safety Administration

1200 New Jersey Ave., S.E.
Washington, DC 20590

SERVICE DATE
October 25, 2013

LICENSE

MC-843370-B

U.S. DOT No. 2447857
SCARBROUGH TRANSPORTATION LLC
KANSAS CITY, MO

This License is evidence of the applicant's authority to engage in operations, in interstate or foreign commerce, as a **broker, arranging for transportation of freight (except household goods)** by motor vehicle.

This authority will be effective as long as the broker maintains insurance coverage for the protection of the public (49 CFR 387) and the designation of agents upon whom process may be served (49 CFR 366). The applicant shall also render reasonably continuous and adequate service to the public. Failure to maintain compliance will constitute sufficient grounds for revocation of this authority.

A handwritten signature in black ink that reads "Jeffrey L. Secrist".

Jeffrey L. Secrist, Chief
Information Technology Operations Division

BPO

Request for Taxpayer Identification Number and Certification

**Give Form to the
 requester. Do not
 send to the IRS.**

Print or type
 See Specific Instructions on page 2.

Name (as shown on your income tax return) Scarborough Transportation, LLC	
Business name/disregarded entity name, if different from above	
Check appropriate box for federal tax classification: <input type="checkbox"/> Individual/sole proprietor <input type="checkbox"/> C Corporation <input type="checkbox"/> S Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Trust/estate <input checked="" type="checkbox"/> Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) ▶ S <input type="checkbox"/> Other (see instructions) ▶	
Exemptions (see instructions): Exempt payee code (if any) _____ Exemption from FATCA reporting code (if any) _____	
Address (number, street, and apt. or suite no.) 10841 N Ambassador Drive	Requester's name and address (optional)
City, state, and ZIP code Kansas City, MO 64153	
List account number(s) here (optional)	

Part I Taxpayer Identification Number (TIN)																				
Enter your TIN in the appropriate box. The TIN provided must match the name given on the "Name" line to avoid backup withholding. For individuals, this is your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see <i>How to get a TIN</i> on page 3.																				
Note. If the account is in more than one name, see the chart on page 4 for guidelines on whose number to enter.																				
	<table border="1" style="margin: auto;"> <tr><td colspan="9" style="text-align: center;">Social security number</td></tr> <tr><td> </td><td> </td><td> </td><td>-</td><td> </td><td> </td><td> </td><td> </td><td> </td></tr> </table>	Social security number												-						
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Employer identification number																				
4	6	-	3	7	6	0	5	3	1											

Part II Certification	
Under penalties of perjury, I certify that:	
1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), and 2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and 3. I am a U.S. citizen or other U.S. person (defined below), and 4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.	
Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 3.	

Sign Here	Signature of U.S. person ▶	Date ▶	January 1, 2014
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General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. The IRS has created a page on www.irs.gov/w9 for information about Form W-9, at www.irs.gov/w9. Information about any future developments affecting Form W-9 (such as legislation enacted after we release it) will be posted on that page.

Purpose of Form

A person who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) to report, for example, income paid to you, payments made to you in settlement of payment card and third party network transactions, real estate transactions, mortgage interest you paid, acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA.

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN to the person requesting it (the requester) and, when applicable, to:

- Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
- Certify that you are not subject to backup withholding, or
- Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income, and

4. Certify that FATCA code(s) entered on this form (if any) indicating that you are exempt from the FATCA reporting, is correct.

Note. If you are a U.S. person and a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

Definition of a U.S. person. For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien,
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States,
- An estate (other than a foreign estate), or
- A domestic trust (as defined in Regulations section 301.7701-7).

Special rules for partnerships. Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax under section 1446 on any foreign partners' share of effectively connected taxable income from such business. Further, in certain cases where a Form W-9 has not been received, the rules under section 1446 require a partnership to presume that a partner is a foreign person, and pay the section 1446 withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid section 1446 withholding on your share of partnership income.